# *Weekly Connections Call*

**Duncan**
**ADVISOR RESOURCES**
Making It All Work **Together**

## Today's Connection Call

Click the button below to view the recording of today's call.

**AI Notes**


**Do YOU have a topic you would like discussed on the next call? Let us know! Contact your OSJ or reply to this E-mail.**

## Cambridge Ignite 2026 - REGISTER NOW!


## Registration for Ignite 2026 is live!

*(September 16-18 in Kissimmee, Florida)*

## Click here to register now.

### Why come to Cambridge Ignite?

Ignite continues to prove why it's a standout event: a vibrant blend of motivational keynotes, immersive learning, and high-impact networking at every turn. It's a great opportunity to share, connect and exchange ideas with fellow advisors in real time.

**SPECIAL DEAL FOR FINANCIAL PROFESSIONALS!**

zocks

### Take advantage of this special discount from Zocks!

We're excited to share that we have partnered with Zocks AI, to bring those affiliated with our Enterprise a **6 month free trial.**

**Zocks AI helps advisors:**

- Analyze client sentiment to improve communication and relationships
- Track advisor performance with detailed scorecards
- Streamline processes with a built-in form filler
- Integrate with eMoney for a smoother planning experience
- Gain practice insights and user data to drive growth

**Schedule your onboarding call here with our DAR account manager to start leveraging Zocks right away**, or scan the QR Code below.

**Connecting With You**

I have an issue with compensation!

Is this product approved?
Is this an OBA?

When it comes to support, our OSJ enterprise is second to none. But who do you contact? Click the link below and save to your favorites the OSJ contact list. It is the most comprehensive page on who to contact depending on need.

**UPDATED DAR OSJ Contact List**

## Upcoming Connection Calendar

**Rep calls are happening every Wednesday, and we are working hard to fill the schedule.**

**August 12 - Inland: Part of the Innovate Sponsor Series**

**August 19 - Transamerica: Part of the Innovate Sponsor Series**

**Plan to be a part of our call every Wednesday.**

## CJ's Compliance Corner

### Financial Planning: Form Updates, Resources, and Best Practices

Effective immediately, the Financial Planning Engagement Form (FPE) is no longer required for financial planning or consulting services provided at no charge to clients.

**For no-charge engagements, advisors must still maintain documentation of:**

- Services provided
- Recommendations discussed
- Client communications
- Any client-facing materials or deliverables shared

Reminder that all client-facing templates and deliverables remain subject to standard advertising review requirements.

This announcement also shares the **Financial Planning Affirmation of Services Form**, which is available as an optional tool to help document that financial planning or consulting services were provided. The Affirmation of Services Form is a supplemental recordkeeping tool only and does not replace the requirement to maintain complete and adequate documentation supporting the services delivered **(deliverables guide here).**

Whether or not a service constitutes “financial planning” depends on what advice is being provided to the client.

Just because the financial professional is not charging for the advice does not necessarily mean that it would not fall into the financial planning category. For example, Cambridge considers a free “needs-based analysis” to be a financial plan.

## Tom's Business Block

### NetXInvestor OTP Change August 28th

A topic I have brought to your attention previously, now has a firm date.

Effective **August 28, 2026**, clients registering for NetXInvestor through NetXInvestor will no longer have the option to receive OTPs via email. Supported OTP delivery methods will be:

- SMS/Text Message
- Voice Call

Clients with an existing NetXInvestor User ID will continue to have access to the Email OTP option temporarily; however, this method will be removed in a future release.

Cambridge strongly encourages clients to update their security settings now to ensure uninterrupted access.

**Recommended Action**
Please assist your clients in updating their NetXInvestor OTP delivery method to one of the supported options below:

- SMS/Text Message
- Voice Call

**How to Update OTP Settings in NetXInvestor**

1. Log in to NetXInvestor (web or mobile app)
2. Navigate Security Settings
    - Your client will be prompted to receive an OTP to get into their security settings
3. Review the OTP delivery method
    - Set SMS/Text or Voice Call as the default method
    - Remove Email as an OTP method if it is currently listed
4. Confirm the associated phone number is correct
5. Save your changes

**More help can be found here -> LINK**

### AI Insight Training Moving

AI Insight, Cambridge's current resource for product-specific alternative investment information and required education modules, will be retired beginning August 7 and product-specific alternative investment education modules will move to the Learn tab within the Cambridge iCapital Alternatives Platform.

The **AI Insight link** on the cir2.com homepage will be replaced with a link to the Cambridge iCapital Alternatives Platform. Banner notifications will also be posted within AI Insight and the Cambridge iCapital Alternatives Platform to help users prepare for the transition.

Beginning **August 10**, access the Cambridge iCapital Alternatives Platform and select the Learn tab to find applicable education modules. This transition changes where the modules are accessed; existing licensing and training requirements remain unchanged.

**Review the Cambridge iCapital Alternatives Platform page for navigation instructions and additional resources.**

## CLIC Advisor Upgrades

Cambridge is pleased to share the enhancements included in the Summer Release for CLIC® Advisor. Cambridge prioritizes client satisfaction and trust; we're constantly looking for ways to improve you and your clients' experience and save you time.

**Some of the highlighted updates are:**

- Faster plans, more possibilities with CoPlanner: Simplifies planning by automatically assessing client data and presenting actionable strategies in real time. With CoPlanner, you'll spend less time building plans and more time engaging clients and focusing on growth! Through this tool, you gain access to human-driven guidance in real time.
- Deliver plans more efficiently with the New Foundational Planning
- Changes to 16 reports to improve performance and enhance interactivity
- Plus many more

**For the full list of upcoming improvements, click here.**

Our Associate Director of Financial Planning Geoff Alberstadt will be reviewing these updates and will discuss them on a future Connections call.

## Upcoming Webinars

U.S. Energy Development Corporation: Unlocking Energy Investment & Tax Planning Opportunities **Aug 13, 2026 04:00 PM** **Registration Link**

Community of Women | Real Talk: Efficiency, Balance, and the Business of Getting It Done **August 15, 2026 | 1:00 p.m. CT** **Registration Link**

## Innovate Videos Live

Reminisce on the dad jokes and the great conversations of Innovate 2026. All of the videos are now loaded on the Duncan website. If you were unable to make it, then experience the fun and education as if you were in the room.

**You can go directly to the page here -> LINK**

Add to your calendar right now Innovate '27 April 28th – 30th Omni Amelia Island, Florida.

## Innovate Sponsor Series - US Energy with Fred

**Fred Gratz**
Director of Business Development – Atlantic Territory
**Email:** fgratz@usedc.com

*Fred discussed direct investments in oil and gas assets, with a focus on tax deductions and strategies that help facilitate discounted Roth conversions.*

### MATERIALS FROM THE PRESENTATION:

**USEDC Roth Conversion**
**Roth IRA Whitepaper**
**2026 Drilling Fund 1 LP Highlights Sheet**
**Slides**

## Team Oak Feedback Form

**A feedback form is now available to make it easier to share experiences with Team Oak at Cambridge here.**

The form is flexible, and you may complete as much or as little as your schedule allows.

Your feedback and timely review of these items help us coordinate effectively with Team Oak leadership and improve the overall experience for our branch. Forms should be submitted directly to CJ **(catherine.lavoie@duncanar.com).**

Thank you for your participation!

**Larry L. Qvistgaard, II**
President, COO
Duncan Advisor Resources
215 Avenida Del Mar, Ste. B, San Clemente, CA 92672
**T** 800.517.9901 x2230 **C** 949.306.0060 **F** 707.676.8633
**www.duncanar.com**

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Making It All Work **Together**

Duncan Advisor Resources | 311 Main Street | Irwin, PA 15642 US