

Weekly Connections Call



Duncan

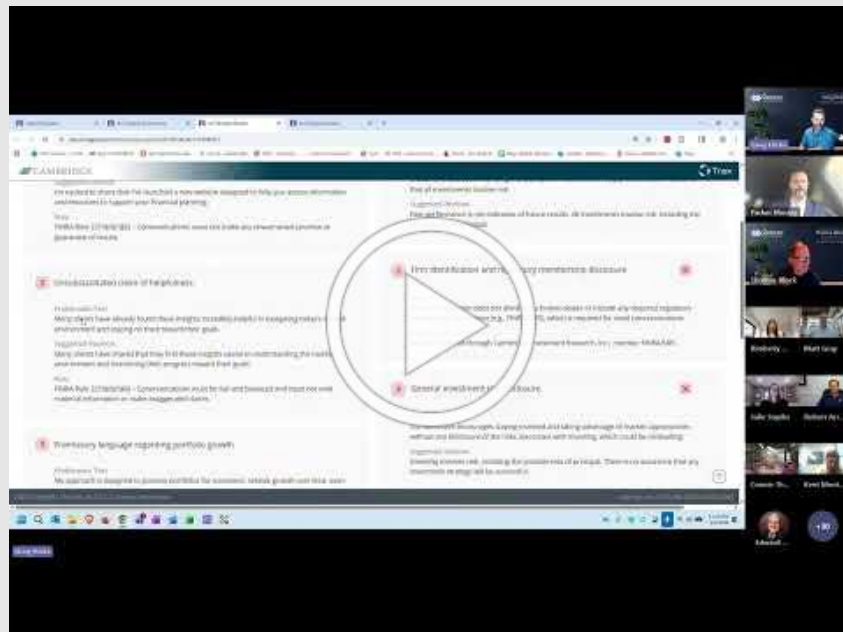
ADVISOR RESOURCES

Making It All Work Together

Today's Connection Call

Click the button below to view the recording of today's call.

[AI Notes](#)



Do YOU have a topic you would like discussed on the next call? Let us know! Contact your OSJ or reply to this E-mail.

SAVE THE DATE FOR INNOVATE!

INNOVATE

2026



Our annual enterprise event Innovate, held May 20th-22nd at the Omni Resort in Hilton Head, SC, is now live to register!

You'll notice on the site it says May 19th, and that's for those that need to fly in the day before. Unless you plan on flying out at the earliest flight available on Wednesday morning, get in the day before and relax around the resort.

As in the previous years, this is a two-part registration – for the event itself and for the hotel room.

We have an excellent agenda being crafted for you, and we will have Cambridge's CEO Amy Webber in attendance.

[Registration](#)

[Hotel](#)

[Full Website \(Favorite this for updates\)](#)

SPECIAL DEAL FOR FINANCIAL PROFESSIONALS!



Take advantage of this special discount from Zocks!

We're excited to share that we have partnered with Zocks AI, to bring those affiliated with our Enterprise a **6 month free trial**.

Zocks AI helps advisors:

- Analyze client sentiment to improve communication and relationships
- Track advisor performance with detailed scorecards
- Streamline processes with a built-in form filler
- Integrate with eMoney for a smoother planning experience
- Gain practice insights and user data to drive growth

[Schedule your onboarding call here with our DAR account manager to start leveraging Zocks right away.](#) or scan the QR Code below.

Connecting With You

I have an issue with compensation!
Is this product approved?
Is this an OBA?

When it comes to support, our OSJ enterprise is second to none. But who do you contact? Click the link below and save to your favorites the OSJ contact list. It is the most comprehensive page on who to contact depending on need.

[UPDATED DAR OSJ Contact List](#)

Upcoming Connection Calendar

Rep calls are happening every Wednesday (Except the week of the Annual Branch Meeting) and we are working hard to fill the schedule.

May 13 - **Road to Innovate: Corebridge**

May 20 - **NO CALL: SEE YOU AT INNOVATE!**

May 27 - **Betterment Part Deux**

June 3 - **DAR Financial Planning Team**

Plan to be a part of our call every Wednesday.

Greg's Gentle Reminder



Demo of the new AI Advertising Tool

What Is AI Precheck?

AI Precheck is an optional, pre-submission tool that reviews content and helps identify issues early. It can:

- Flag possible compliance concerns
- Suggest revisions
- Identify applicable FINRA rule(s)
- Recommend disclosures

Results appear directly in the drafted submission so updates can be made before official review.

Important: AI Precheck assists with review, but does not replace Compliance approval. All communications remain subject to full Compliance review.

Why Use It?

AI Precheck may help:

- Catch issues earlier
- Reduce back and forth
- Submit more review-ready materials
- Save time in the review process

Think of it as a helpful first pass before submitting.

What AI Precheck Does Not Do

AI Precheck is not a final determination. It does not:

- Replace Compliance review or approval
- Identify every regulatory, policy, or disclosure requirement
- Consider full context, including firm-specific practices, audience, or use case
- Guarantee approval

If AI Precheck is something you would like to use, but you do not feel fully comfortable using it yet, please reach out to Greg Hicks to schedule time to walk through this new technology Cambridge has made available to all of us.

Here is a link to Cambridge's information on AI Precheck.

<https://www.cir2.com/news-and-events/communications-from-cambridge/compliance-alerts/CO-26-13-introducing-ai-precheck-in-reged-communications-review-041426/>

Tom's Business Block



Snappy Kraken Campaign Compliance Replay

If you missed the recent Snappy Kraken and Cambridge Compliance Update webinar — or would like to revisit the session — you can watch the replay at the link below:

[Watch the Replay](#)

In the session, they walked through how the new process makes it easier to stay compliant and follow through on your marketing.

Through the partnership with Snappy Kraken, Cambridge financial professionals have access to a limited time offer to help you get started:

- Setup fee waived (*up to \$3,000 in savings*)
- Cambridge 10% partner discount applied

[Book Your Demo](#)

Tech Access/Changes: eSignature, MFA, Contrafirm Awareness, CRC

Cambridge is updating the DocuSign eSignature experience in CLIC[®] to modernize how documents are prepared, signed, and managed. This new experience will be different from the workflow you are accustomed to today.

The enhanced experience provides additional signing and authentication capabilities, including role-based signing, improved handling for signers who are not in CLIC, and greater control over document envelopes. These changes are intended to create a more consistent and reliable eSignature experience.

Over the coming weeks, Cambridge will contact Docusign users who have created one or more envelopes in the past 12 months to notify them of their scheduled transition dates. All remaining users will be automatically transitioned on June 1, 2026.

To support you during this transition, Cambridge will be hosting weekly webinars to walk through the new workflow, answer questions, and share access to Docusign-specific resources with detailed instructions for submitting forms, managing signer permissions, and using advanced features.

Please join one of the upcoming sessions or watch the recorded webinar available below.

- **Thursday, May 14 | 9:00 a.m. CT | [Register](#)**
- **Tuesday, May 19 | 9:00 a.m. CT | [Register](#)**
- **Tuesday, May 26 | 3:00 p.m. CT | [Register](#)**
- **Thursday, June 4 | 3:00 p.m. CT | [Register](#)**

Recorded webinar: [Available in Knowledge Center University \(KCU\)](#)

Cambridge has strengthened multi-factor authentication (MFA) protections to further safeguard account access. These enhancements ensure that MFA is more consistently enforced during sign-in for cir2 and applications available via single sign-on (SSO), adding an extra layer of security even when credentials are already valid.

As a result, you may experience MFA prompts more frequently during the course of your day. While this may require an extra step when signing in, it helps reduce the risk of unauthorized access and supports the ongoing protection of financial professional accounts and client information.

On April 30, the [Contrafirm Awareness Table](#) — a cir2.com resource that outlines transfer-related requirements and considerations for delivering firms, helping support accurate and efficient processing — will

be easier to access with fewer clicks. The table will be accessible under Business Resources > Managing Your Business Resources, with a new navigation dropdown for Contrafirm Awareness Table. This resource can also be accessed by entering Contrafirm Awareness into the search bar.

Cambridge Resource Center (CRC) is no longer be accessible. The information you rely on is not being removed. Instead, all content has been carefully reviewed, consolidated, and made available through [Indy](#), the AI-powered chat assistant.

What this means for you:

- The same resources remain available, now delivered through Indy
- Content has been updated to improve accuracy and reduce duplication
- Information can be found more efficiently by asking questions directly, rather than navigating multiple pages or links

To support this transition, Cambridge held an Indy 101 webinar last week covering how to find resources, best practices for prompting, and tips for navigating Indy. A recording of that webinar has been made available — [watch now](#).

Upcoming Webinars

Join PTAM on Thursday, May 7 at 4PM EST for a timely discussion on how to position your fixed income portfolio in today's evolving credit landscape.

Registration Link: [Register Now](#)

Introducing the New Business Analytics: May 19, 2026
02:00 PM EST Learn about the launch of Business Analytics, the new modern analytics platform that replaces the legacy CLIC Dashboard.

[Register Here](#)

Business Opportunity - Road to Innovate: The Pacific Financial Group



Parker Mosley, AIF
Vice President Sales, Southeast Region
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The Pacific Financial Group has proudly supported AUM growth with Cambridge advisors for over 20 years. While TPFG is best known for their Self-Directed Brokerage Account program for active management of individual client retirement plan assets, today they are a full-service TAMP offering portfolio strategies for all registration types, along with tools like Financial Planning and Risk Profiling softwares.

Being the pioneer and current largest SDBA vendor in the industry isn't why The Pacific Financial Group wins most of it's new business...financial advisors choose to work with TPFG because of the client user experience. Their account opening, monitoring, and service experience is now 100% digital. Parker spent time showing our team how easy their DocuSign system is and what the Advisor Dashboard experience looks like.

MATERIALS FROM THE PRESENTATION:
[TPFG Sales Territory Map](#)

Team Oak Feedback Form

A feedback form is now available to make it easier to share experiences with Team Oak at Cambridge [here](#).

The form is flexible, and you may complete as much or as little as your schedule allows.

Your feedback and timely review of these items help us coordinate effectively with Team Oak leadership and improve the overall experience for our branch. Forms should be submitted directly to CJ (catherine.lavoie@duncanar.com).

Thank you for your participation!

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Making It All Work Together

Intended solely for Financial Professionals and staff of Duncan Advisor Resources Enterprise

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