Weekly Connections Call

Duncan
ADVISOR RESOURCES

Making It All Work **Together**

Today's Connection Call

Click the button below to view the recording of today's call.

AI Driven Notes

Do YOU have a topic you would like discussed on the next call? Let us know! Contact your OSJ or reply to this E-mail.

SPECIAL DISCOUNT FOR FINANCIAL PROFESSIONALS!

zocks

Take advantage of this special discount from Zocks!

We're excited to share that we have partnered with **Zocks AI,** to bring those affiliated with our Enterprise **15% off** your first 12 months using Zocks.

Zocks AI helps advisors:

- Analyze client sentiment to improve communication and relationships
- Track advisor performance with detailed scorecards
- Streamline processes with a built-in form filler
- Integrate with eMoney for a smoother planning experience
- Gain practice insights and user data to drive growth

Schedule your onboarding call here with our DAR account manager to start leveraging Zocks right away.

Connecting With You

I have an issue with compensation!
Is this product approved?
Is this an OBA?

When it comes to support, our OSJ enterprise is second to none. But who do you contact? Click the link below and save to your favorites the OSJ contact list. It is the most comprehensive page on who to contact depending on need.

UPDATED DAR OSJ Contact List

Upcoming Connection Calendar

Rep calls are happening every Wednesday (Except the week of the Annual Branch Meeting) and we are working hard to fill the schedule.

November 5 - ACM with Michael and Ken: Capitalizing on the SDBA Market in 2026

November 12 - Duncan Walsh Advisors Quarterly Review with Dave & Tom

November 19 - 2026 Business Planning with Larry Q

December 3 - Elise from FMG

December 10 - Snappy Kraken

December 17 - Kristen from Cambridge's Real Practice Management

Plan to be a part of our call every Wednesday.

CJ's Compliance Corner

Firm Element

Deadline is this Friday – please double check your Firm Element status in RegEd to confirm completion of all modules.

Annuity Withdrawal Additional Information Request

If a withdrawal is made from your client's annuity, whether or not you were involved in the transaction, Cambridge will reach out to you for additional information if a CDSC was assessed. For an example of the E-mail you will receive, please click **here.**

Team Oak

A new feedback form is now available to make it easier to share experiences with Team Oak at Cambridge **here**.

The form is flexible, and you may complete as much or as little as your schedule allows.

Your feedback and timely review of these items help us coordinate effectively with Team Oak leadership and improve the overall experience for our branch. Forms should be submitted directly to CJ (catherine.lavoie@duncanar.com).

You now also have the opportunity to collaborate with your service team directly. **Join Team Oak for the first "Connect and Collaborate" session, one week from today!**

Service Experience | Team Oak: Connect and Collaborate
Date & Time: Nov 5, 2025 04:00 PM in Eastern Time (US and Canada)
Description: Join us for Service Experience Connect & Collaborate sessions designed to bring our service teams, Financial Professionals, and Admins together in a casual, interactive format. Whether you're new to the team or a familiar voice, come ready to connect, collaborate, and help shape the experience we're proud to deliver.

To register, click **here**

Around the Business Block

Revamped Research Center

Looking for actionable, easy-to-share investment

insights?

Harison Sidhu, CIO of Cambridge, recently introduced the new Research Center — a centralized portal on cir2.com featuring monthly spotlights, manager recommendations, white papers, and market data. Designed to simplify your access to research and support client conversations, the Research Center is your new go-to destination for investment insights.

You can watch the announcement here
You can see the new Research Center here

RPM Coaching Program Enrollment

The 2026 enrollment for Cambridge's Real Practice Management is coming to a close. If you've been on the fence on joining, don't delay any longer. Reach out to me at **Thomas.block@duncanar.com** to see if signing up for RPM is right for your business planning goals.

There are four different levels of coaching programs. RPM Vision will help you define your business model and unique value proposition. The following year, RPM Focus helps you fine-tune a strategic plan to implement the goals and objectives you have identified as key components for your success. Lastly, RPM Recharge allows participating financial professionals to create the agenda of topics they would like to cover in the workshop event.

This program is offered exclusively to Cambridge financial professionals at no cost. All you need to do is cover the cost of travel to the 2026 meeting sites.

All information about RPM can be found here

CRC Designation Discount

Earning the Certified Retirement Counselor© (CRC©) designation will increase your knowledge and skills and help you stand out as a true retirement planning professional. **Click here** to learn more about the CRC designation and a Cambridge financial professional program discount available through October 31.

To directly sign up, go here

Must Register Webinars

Retirement Planning Strategies for Social Security and Medicare, Presented by Prudential Retirement Strategies - Oct 30, 2025 04:00 PM EST Register Here

Voice of the American Workplace - Nov 6, 2025 04:00 PM EST Register Here

The Ins and Outs of Private Equity - Nov 13, 2025 04:00 PM EST Register Here

AI in Action: Advanced Use Cases, Copilot Power & Real-World Demos - Nov 11, 2025 04:00 PM Register Here

Structured Investments 101 - Nov 13, 2025 03:00 PM EST Register Here

Business Opportunity - Protecting Your Investment Accounts from AI Fraud with Cambridge

Cameron Chamberlin
Director of Security Operations
Email: cameron.chamberlin@cir2.com

Cambridge: *Protecting Your Investment Accounts from AI Fraud*

Artificial intelligence is transforming financial services—but it’s also empowering fraudsters with new tools to deceive investors and advisors alike. We’ll explore how sophisticated scams are evolving through deepfakes, impersonation technology, and AI-generated communications. This session sheds light on real-world examples of emerging threats and outlines practical, proactive steps you can take to secure your accounts, protect client data, and maintain trust in a rapidly changing digital landscape.

MATERIALS FROM THE PRESENTATION:

Protecting Your Investment Accounts from AI Fraud Part 1

[Protecting Your Investment Accounts from AI Fraud Part 2]

[7 Smart Ways Financial Professionals are Already Using AI]

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Thank you for your participation!

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Duncan ADVISOR RESOURCES
Making It All Work Together