Weekly Connections Call

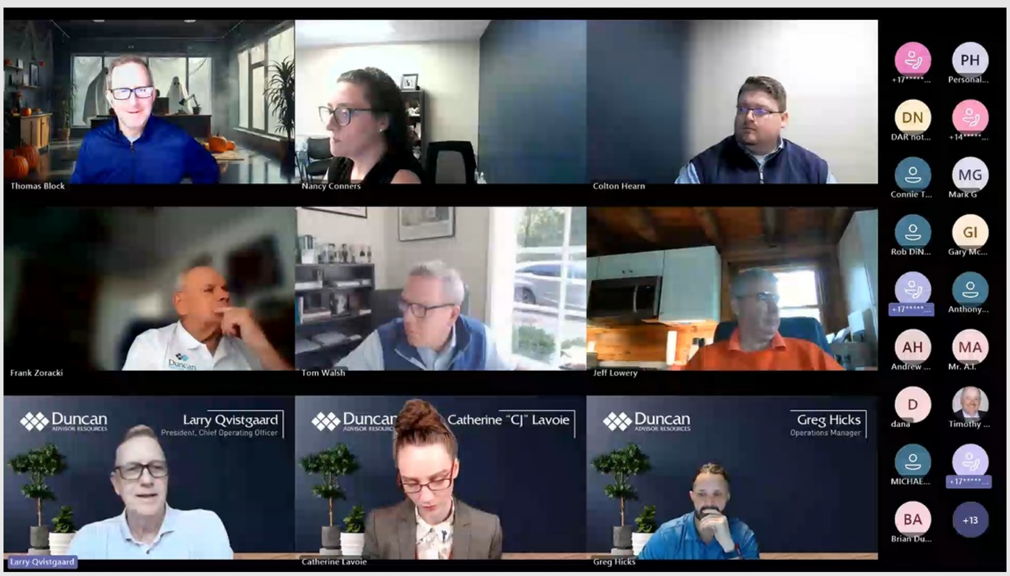
Duncan
ADVISOR RESOURCES

Making It All Work **Together**

Today's Connection Call

Click the button below to view the recording of today's call.

AI Driven Notes



Do YOU have a topic you would like discussed on the next call? Let us know! Contact your OSJ or reply to this E-mail.

SPECIAL DISCOUNT FOR FINANCIAL PROFESSIONALS!

zocks

Take advantage of this special discount from Zocks!

We're excited to share that we have partnered with **Zocks AI,** to bring those affiliated with our Enterprise **15% off** your first 12 months using Zocks.

Zocks AI helps advisors:

- Analyze client sentiment to improve communication and relationships
- Track advisor performance with detailed scorecards
- Streamline processes with a built-in form filler
- Integrate with eMoney for a smoother planning experience
- Gain practice insights and user data to drive growth

Schedule your onboarding call here with our DAR account manager to start leveraging Zocks right away.

Connecting With You

I have an issue with compensation!
Is this product approved?
Is this an OBA?

When it comes to support, our OSJ enterprise is second to none. But who do you contact? Click the link below and save to your favorites the OSJ contact list. It is the most comprehensive page on who to contact depending on need.

UPDATED DAR OSJ Contact List

Upcoming Connection Calendar

Rep calls are happening every Wednesday (Except the week of the Annual Branch Meeting) and we are working hard to fill the schedule.

October 22 - DAR Brokerage General Agency

October 23, 12pm EST - Nationwide SuperCE Just For DAR (Register Here)
State Credit Hours

November 12 - Duncan Walsh Advisors

November 19 - 2026 Business Planning with Larry Q

Plan to be a part of our call every Wednesday.

CJ's Compliance Corner

Team Oak Feedback
A new feedback form (link to attachment) is now

available to make it easier to share experiences with Team Oak at Cambridge. There has been a reported increase in poor service being received, and this form is designed to collect concrete examples that we can use to work with Team Oak leadership to address concerns constructively. **Both positive and negative feedback is encouraged!**

Praise can be just as important, so Cambridge can recognize individuals who consistently deliver excellent service and may be candidates for leadership and mentorship roles.

The form is flexible, and you may complete as much or as little as your schedule allows. Your feedback and timely review of these items help us coordinate effectively with Team Oak leadership and improve the overall experience for our branch. Forms should be submitted directly to CJ **(catherine.lavoie@duncanar.com).**

Account Periodic

The quarterly account periodic flags were released this week. This module is accessed separately from ordinary trade review, is only released quarterly, and requires timely attention. Please review the module promptly to ensure that any required actions are addressed.

Around the Business Block

CLIC Advisor Mobile App

The new Premium Client Portal mobile app is now available. With this app, your clients can securely access their financial dashboard anytime, anywhere, right from their mobile device.

You can request access through any of the following channels with the Digital Experience CLIC® Advisor team:

- Submit a case using the topic CLIC Advisor
- Via phone at **855-557-4030**, Option 3
- Email at **advisory.experience@cir2.com**

The mobile app is available for $800 annually. This fee allows you to grant access to the app for any clients you choose, giving you flexibility and control over who benefits.

Key Features:

- Quick, Secure Client Portal Access: Clients can log in to their individual portal using biometric authentication – no passwords to remember
- Direct Advisor Connection: Clients can reach out to you conveniently from anywhere, making communication seamless
- Timely Follow-Up: The app enables clients to take

next steps on meeting follow-ups or respond to push notifications promptly
- High-Level Security: The app is designed with robust security features to protect client data and privacy

To learn more, watch the informational video **here**.

CoveredAdvisor Enrollment Period

Cambridge is a proud, long-standing member of the Financial Services Institute (FSI). While FSI's mission is to advocate for independent financial professionals, they also offer exclusive insurance benefits to members through the FSI CoveredAdvisor program. The enrollment period is now open and runs through October 31.

Join FSI for a 30-minute live webinar on October 16 4pm EST, where they will highlight new benefits and rates, and answer your questions.

Register for the webinar

Learn more and enroll — Use your special access code: **CIR2**

CoveredAdvisor benefits include:

- Access to Medical/Dental/Vision, 401(k), HR, and Payroll Support through a bundled CPEO[1] business solution
- Long-term Disability for financial professionals: Up to $35,000/month
- Group Term Life/Accidental Death & Dismemberment (AD&D) for financial professionals and spouses: Up to $2 million total coverage ($1 million life and $1 million AD&D), term to age 75
- Short-term Disability for financial professionals
- Long- and Short-term Disability plus Term Life/AD&D for staff of financial professionals
- Critical Illness, Accident, and Business Overhead Insurance
- No medical exam. One-question underwriting[2]. Dedicated support from start to finish.

We encourage you to take some time to review this offering from FSI. For questions, please contact FSI at **888-279-8348** or visit **fsibenefits.org**. If you are not a current FSI member, but interested in joining, visit **financialservices.org/join-now**.

Now, Next, Later Updates

Cambridge's Product Management Leadership Team is driving several technology initiatives focused on enhancing tools and resources to better support you and your business.

You are invited to review the **Now, Next, Later roadmap** on cir2.com for better visibility into the efforts focused on streamlining workflows, improving user experiences, and delivering meaningful enhancements based on your feedback.

These are the approximate release dates for each item under the Now section. *Please note these dates/time frames are subject to change.*

CLIC Workstation (full release): Indy, SSO, credit card payment, negative compensation alerts – 11/11/2025
CLIC Advisor/eMoney Premium Client Portal – This has been released. This is what I spoke about today
CLIC Workstation: Manage Enrollments for CLIC Advisor – This is planned for mid-November. This is not a new functionality, but rather a different/better experience.
CLIC Enrollment Smart Form – This is planned to be released by the end of the year.
Current CLIC: Docusign Enhancements – Auto tagging – This has been released. It streamlines the document preparation process by automatically assigning signature and date fields based on predefined business rules—reducing manual input, improving accuracy, and accelerating turnaround times.

Some of the Key Benefits Include:
• Smart Field Assignment: Signature fields are auto populated based on recipient roles (e.g., primary investor, financial professional).
• Simplified Editing: Users can adjust recipient assignments directly in the Docusign draft envelope stage.
• Visual Clarity: Color-coded tags help distinguish between multiple signers

Invest In Others

Are you passionate about giving back? The Invest in Others Grants for Good and Grants for Change programs can help you amplify your charitable work by providing funding for the nonprofits you support.

Applications are now open through Friday, November 14. These annual grant programs give those working in financial services the opportunity to secure up to $20,000 for specific programs or projects on behalf of a nonprofit that they support. Applications are open to anyone who works in the financial services ecosystem in the United States – from financial advisors and their staff to employees of asset managers, broker-dealers, clearing firms and custodians, FinTech firms, industry associations, insurance companies, and more.

Click **here** for more information on eligibility and the application process.

Invest in Others provides a platform for financial professionals to make an impact on the causes they care about by recognizing and channeling philanthropy and volunteerism to make a difference where it's needed most. Since 2006, Invest in Others has awarded 927 grants totaling more than $7.5 million.

Last year, two Cambridge financial professionals — Chris Janota of Envoy Wealth Management (Acts of Grace) and Traci Shughart of Premier Wealth Management (Bethany House) – each received $20,000 from the Invest in Others Grants for Good initiative for their nonprofit work; read more in our **press release.**

For more information on how Invest in Others is helping financial professionals across the country amplify their impact on local communities, visit their website **here**.

Business Opportunity - Zocks: Powering Up You Practice Efficiency with Sydney

Sydney Harrington
Account Executive, Zocks
Email: sydney.harrington@zocks.io

Sign up for Zocks today!

Zocks: *Powering Up Your Practice Efficiency*

This presentation covered how Zocks transforms unstructured conversations into structured, actionable data; highlighting how Zocks can automatically generates meeting summaries, builds and maintains dynamic client profiles, detects CRM field updates, and support workflows. Zocks is a comprehensive solution for firms looking to automate documentation, improve data quality, and enhance client engagement.

Zocks is a privacy-first, AI-driven client intelligence platform built for virtual, in-person, and phone meetings. Unlike competitors that rely on recordings, Zocks uses live transcription without ever storing audio or video. Zocks integrates deeply with CRMs, email, calendars, and recently started expanding into financial planning, tax, and onboarding solutions with more to come, enables seamless two-way data flow for tasks and client records.

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Making It All Work Together