Weekly Connections Call

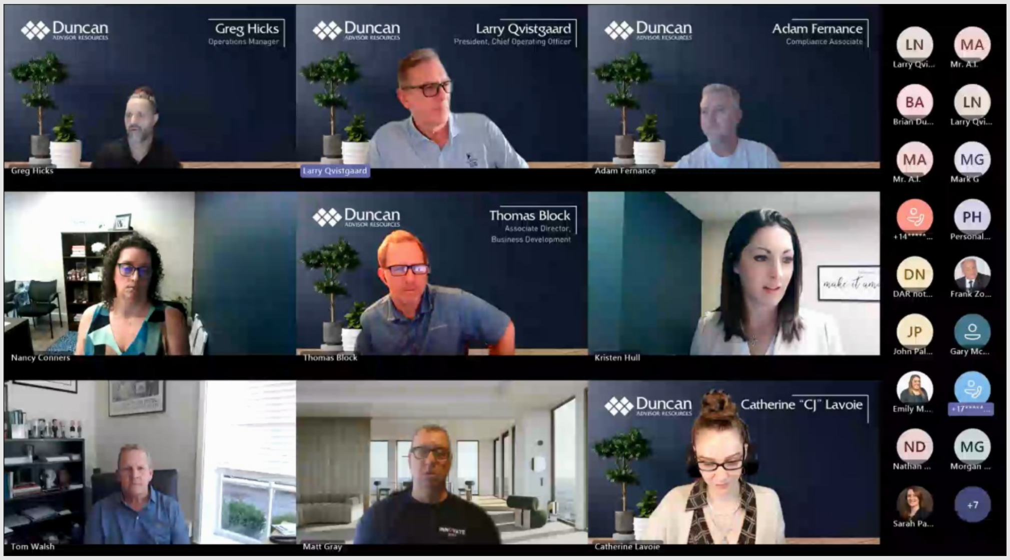
Duncan ADVISOR RESOURCES

Making It All Work **Together**

Today's Connection Call

Click the button below to view the recording of today's call.

[AI Driven Notes]



Do YOU have a topic you would like discussed on the next call? Let us know! Contact your OSJ or reply to this E-mail.

SPECIAL DISCOUNT FOR FINANCIAL PROFESSIONALS!

zocks

Take advantage of this special discount from Zocks!

We're excited to share that we have partnered with **Zocks AI,** to bring those affiliated with our Enterprise **15% off** your first 12 months using

Zocks.

Zocks AI helps advisors:

- Analyze client sentiment to improve communication and relationships
- Track advisor performance with detailed scorecards
- Streamline processes with a built-in form filler
- Integrate with eMoney for a smoother planning experience
- Gain practice insights and user data to drive growth

Schedule your onboarding call here with our DAR account manager to start leveraging Zocks right away.

Connecting With You

I have an issue with compensation!
Is this product approved?
Is this an OBA?

When it comes to support, our OSJ enterprise is second to none. But who do you contact? Click the link below and save to your favorites the OSJ contact list. It is the most comprehensive page on who to contact depending on need.

UPDATED DAR OSJ Contact List

Upcoming Connection Calendar

Rep calls are happening every Wednesday (Except the week of the Annual Branch Meeting) and we are working hard to fill the schedule.

October 8 - One Step Ahead with Kelly Chapple from Eagle Life

October 22 - DAR Brokerage General Agency

October 23, 12pm EST - Nationwide SuperCE Just For DAR
(Register Here)
State Credit Hours

November 12 - Duncan Walsh Advisors

Plan to be a part of our call every Wednesday.

CJ's Compliance Corner

OSJ Team Announcement
Please join us in welcoming our newest OSJ Designee, **Adam Fernance!**

He is coming onboard as our Compliance Associate, handling trade review supervision. Please check out the recording to hear directly from Adam, or our **LinkedIn post** for more details!

- Arkansas (effective in 2023)
- California (effective in 2024)
- Colorado (effective in 2024)
- Florida (effective in 2024)
- Hawaii (effective in 2024)
- **Illinois (effective 1/1/2026)**
- Kentucky (effective in 2023)
- Maryland (effective in 2022)
- Michigan (effective in 2023)
- Minnesota (effective in 2025)
- Mississippi (effective in 2022)
- Nebraska (effective in 2025)
- Nevada (effective in 2024)
- New Jersey (effective in 2025)
- North Dakota (effective in 2024)
- Oklahoma (effective in 2023)
- Oregon (effective in 2023)
- Rhode Island (effective in 2025)
- South Carolina (effective in 2023)
- Tennessee (effective in 2024)
- Vermont (effective in 2022)
- Washington, D.C. (effective in 2023)
- Wisconsin (effective in 2023)
- U.S. Virgin Islands (effective in 2025)

State CE Requirements

Remember that in addition to your annual FINRA CE, some states require an additional set of IAR CE training. Check the list below to see if your state is one of them!

You are only required to take this additional training if you are registered in any of the listed states. Securities registration is not required in states where a financial professional does not have a residence or place of business and only serves advisory clients (the only exceptions to this rule are Texas, Puerto Rico, and the US Virgin Islands).

IAR CE states can also be found to the left.

Protecting Against Imposter Website

Tune in next week to hear more about this topic, as we did not have time to cover it on today's call!

Around the Business Block

Ignite Videos are up

As promised if you were unable to go to Ignite or missed one of the mainstage videos, there are now all accessible on cir2.com.

Two presentations I recommend that are a must watch:

· The first is the Pillars of Independence with Eric and Amy: With so much consolidation that has happened, you get a crystal-clear idea on Eric's succession plan like never before.

· Innovation and Experience Outlook: Technology updates are coming and they will be fast and furious over the coming months. You can see all of the video topics by clicking this link -> **LINK**

Additional Webinars to Plan for

As I promised last week, here are some more webinars in October that should be on your radar.

The Power of Zocks: Integrating With Your Cambridge Experience Oct 8th, 2025 4pm EST – Register Here

Turning Cash Into an Organic Growth Engine Oct 15th, 2025 2pm EST - Register Here

Nationwide SuperCE Just for DAR (ALL STATES APPROVED) Oct 23rd, 2025 12pm EST - Register Here
Details can be found HERE and HERE

Cambridge FP Referral Bonus Reminder

With today the start of the 4th Quarter, it's a great time to remind everyone – Financial Professionals AND Admins, that if you know someone that is unhappy with their current Broker-Dealer, I just need a few minutes of your time to understand the situation and I will get that person logged at Cambridge under your name.

If they join Cambridge, you get 2% of their verified production and another 2% of their actual production when they complete 12 months. Maximum payment of $50,000.

Business Opportunity - Updates Around the OBBBA with Andrew From Prudential

Andrew D'Agostino
Regional Vice President - Retirement Strategies
Phone: (412)377.0117
Email: andrew.dagostino@prudential.com

Prudential makes lives better by solving the financial challenges of our changing world. Simply put, that's our purpose. For 150 years and counting, they've been helping our customers live a better life, longer. And today, they're more dedicated than ever to expanding access to financially secure and fulfilling futures.

MATERIALS FROM THE PRESENTATION:
OBBBA Presentation

Beneficiary Booklet

Advanced Planning Insights & Ideas: The One Big Beautiful Bill Act

The One Big Beautiful Bill Act: What Could it Mean for Your Financial Future?

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