Weekly Connection Call

12/4/2024

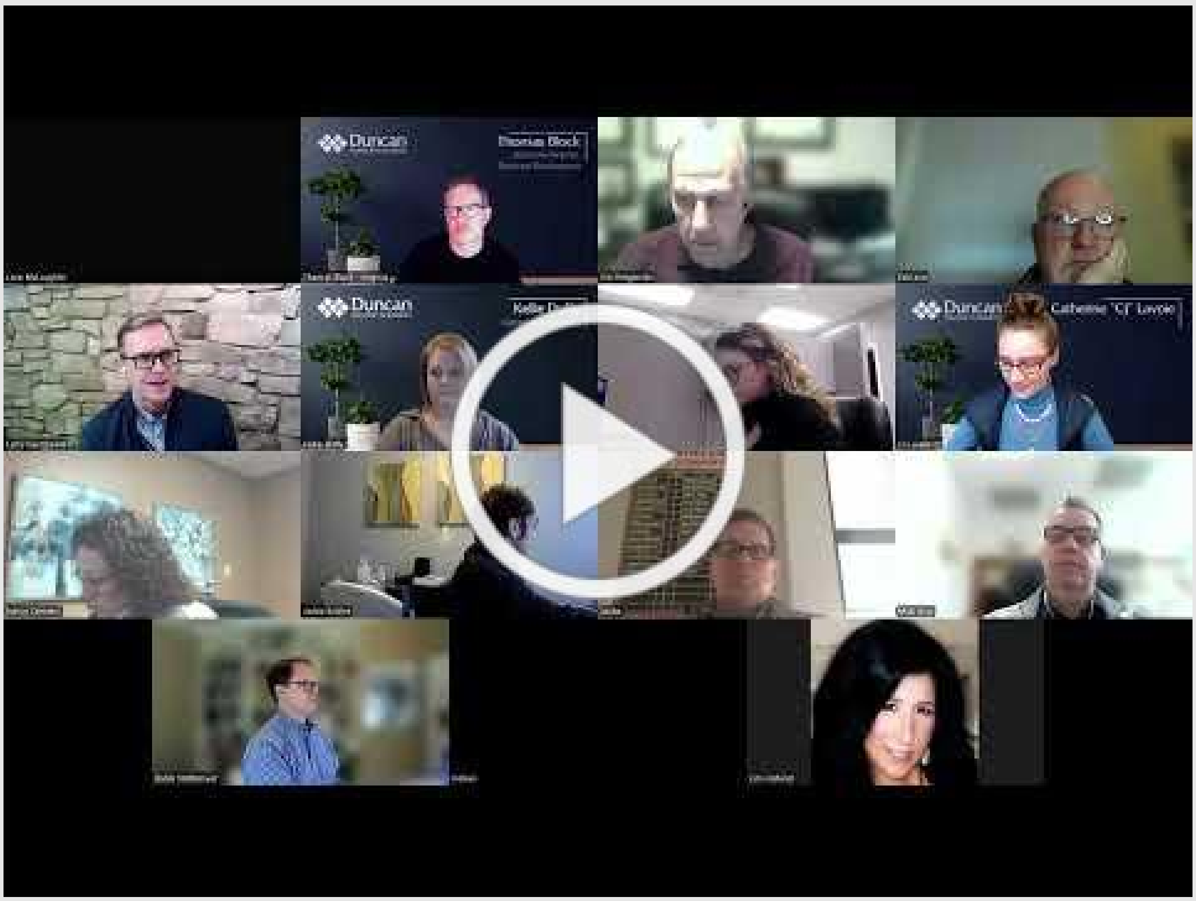
Duncan ADVISOR RESOURCES

Making It All Work Together

Today's Connection Call

Click the button below to view the recording of today's rep call.

Call Notes (Click on the red links to learn more)



Do YOU have a topic you would like discussed on the next call? Let us know! Contact your OSJ, or reply to this E-mail

Your OSJ Team

If you need to know who to call, we have a updated call sheet of your OSJ Team.
Please make sure all admins have a copy of this.

DAR OSJ Contact List

Upcoming Connection Calendar

Rep calls are happening every Wednesday (Except the week of the Annual Branch Meeting) and we are working hard to fill the schedule.

December 11th - Broadridge Advisor Solutions

December 18th - Bill Good Marketing

Cooking up more to grow your revenue

REGISTER TODAY

DAR Innovate 2025 - March 26-28th Amelia Island

CJ's Compliance Corner

Registration Renewals

Please note: any requests for full termination of registration with the Firm, to be effective December 31, 2024, must be submitted to the Registration and Licensing Team no later than December 10, 2024. All other changes are also due by this date - otherwise, your registration will renew with the same selections as the previous year.

Fiduciary Process Review

The E-mail from Cambridge will look something like this:

Case Notification - New Case Created. A new case has been created with the following details:

Case #: 00666399

Client Name:

Department: Fiduciary Services

Topic: Advisory Compliance

Subtopic: CIRA - General

- Respond promptly
- Do **not** respond via E-mail reply
- Instead, respond to the Case available in CLIC
- Specifically address the items being raised in the case.

Keeping U4 up to date

Updates to your U4 for reportable items (tax liens, compromise with creditor, etc.) must be done within 30 days (FINRA regulation). For that reason, it is imperative to contact Cambridge immediately for assistance once you become aware of a qualifying event. Please reach out to the Disclosures and Dispute Resolution Team (DDR): **disclosures@cir2.com**

Around the Business Block

More CLIC Dashboard upgrades

A new feature was released today that will allow users to easily access historical net compensation and adjustment details.

This update will reduce the amount of time spent generating compensation reports. With an intuitive search feature, users can quickly view net payout and detailed compensation information, enhancing efficiency and creating a better user experience.

To access this new view, select Compensation, then Details within the Dashboard.

Unlock Growth with CAAP

Do any of these scenarios sound familiar?

Your business is growing, but so are your responsibilities
You need more time to focus on client relationships.
You want faster revenue growth
You have limited resources to support your business

If any of these resonate, now is the time to consider outsourcing asset management to CAAP®1. Hear how CAAP has helped your peers save time and resources – giving them more time to spend with clients and grow their businesses.

Join Cambridge's Vice President of Client Solutions, Jeff Wick; Cambridge's Assistant Vice President of Client Solutions, Laura Papera; Strategic Financial Services' President and Owner, Regina Quirk; and Jordan Creek Financial Solutions' Assistant Vice President, Cody Phelps, for this discussion to see how CAAP has contributed to their growth and success

Dec 12, 2024 02:00 PM EST **Register Here**

Making Sense of the New Final RMD Regulations

The original Setting Every Community Up for Retirement Enhancement (SECURE) Act brought widespread changes to retirement accounts, including a 10-year payout rule for most non-spouse beneficiaries.

Recently, the IRS issued newly finalized required minimum distribution (RMD) regulations that apply to qualified retirement plans, individual retirement accounts (IRAs), and inherited retirement accounts. These amendments reflect the changes made by both the original SECURE Act and SECURE Act 2.0.

Join Brian Dobbis, Retirement Solutions Lead, as he discusses the final RMD regulations.
Topics will include:
• Clarification of the 10-year rule
• Changes to the rules that apply to a trust beneficiary
• The impact on Roth Accounts
• IRA RMD aggregation
• Rules that apply to successor beneficiaries
• New rules that apply to year-of-death RMDs
• Hypothetical RMDs
• Your questions

Dec 12, 2024 04:00 PM EST **Register Here**

Cambridge Technology Webinar

Join Colleen Bell, President of Innovation and Experience; Val Vest, Executive Vice President and Chief Experience Officer; and Daniel Gill, First Vice President Business Agility & Product Transformation alongside members of their teams as they discuss Cambridge's 2025 Technology Roadmap.

This session offers a behind-the-scenes look at their progress, featuring live demonstrations, insights from executive leadership, and a Q&A opportunity to address your questions.

Dec 18, 2024 02:00 PM EST **Register Here**

Business Planning

Duncan Advisor Resources

Thomas Block, AAMS AWMA CRPC
Associate Director of Business Development
Email thomas.block@duncanar.com

As we enter the final weeks of 2025, what is your marketing plan when it comes to your digital presence?

- **Your website**
- **Your social media**
- **Your email marketing**

Tune in as I cover the comprehensive marketing platforms Cambridge has business agreements with. I will review the packages, the costs, and other specific items that are unique to each offering:

- **Broadridge Advisor Solutions**
- **FMG Suite**
- **Snappy Kraken**
- **Levitate**
- **Bill Good Marketing**
- **Cambridge Source**

Thomas Presentation
Additional FMG Suite Information
Broadridge Additional Info
Snappy Kraken Additional Info
Levitate Additional Info
BGS Services and Solutions Guide
BGM Website Services

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