

CJ's Compliance Minute

Watch the video below



1. [ACM \(Annual Compliance Meeting\)](#)
2. [ACQ \(Annual Compliance Questionnaire\)](#)
3. [Communications Review \(Part II\)](#)
4. [Ignite Takeaways](#)
5. [Solo Practitioner & Admin Call](#)



Catherine "CJ" Lavoie
Compliance Specialist
Duncan Advisor Resources
215 Avenida Del Mar, Ste. B, San
Clemente, CA 92672
T 724-279-4046
www.duncanar.com

Securities offered through Registered Representatives of Cambridge Investment Research, Inc., a Broker/Dealer, Member FINRA/SIPC. Advisory services offered through Cambridge Investment Research Advisors, Inc., a Registered Investment Advisor. Duncan Advisor Resources and Cambridge are not affiliated.

The information contained in this email is confidential and is intended solely for the addressee. If you are not the intended addressee and have received this email in error, please reply to the sender to inform them of this fact.



Duncan Advisor Resources | 311 Main Street, Irwin, PA 15642

[Unsubscribe marketing@duncangrp.com](mailto:unsubscribe_marketing@duncangrp.com)

[Update Profile](#) | [Constant Contact Data Notice](#)

Sent by catherine.lavoie@cambridgesecure.com powered by



Try email marketing for free today!