Weekly Rep Call

10/25/2023

Annual Compliance Meeting (ACM) and Annual Compliance Questionnaire (ACQ)
Available in RegEd and ***due by October 31st*** !

Today's Rep Call

Click the button below to view the recording of today's rep call.

Call Notes (Click on the red links to learn more)

Do YOU have a topic you would like discussed on the next call? Let us know! Contact your OSJ, or reply to this E-mail

Upcoming Rep Calls

Rep calls are happening every Wednesday (Except the week of the Annual Branch Meeting) and we are working hard to fill the schedule.

November 1st- Venture Capital Investing 101 for Retail Investors by Private Shares

November 8th- Dave Duncan and Tom Walsh 3rd Q DWA and

Market Review

November 15th- Unlocking ChatGPT presented by BC Partners

November 22nd- Larry's 2024 Business Planning Session

2024 Annual Branch Meeting

We are excited to announce that the 2024 Annual Branch Meeting will be held at the beautiful Omni Amelia Island in sunny Fernandina Beach, FL . This meeting is designed to meet the needs of our financial professionals and will offer educational sessions on products, marketing and sales, marketplaces trends, and more. Plus a backdrop of the beautiful sunrises.

We are sending this communication out early for some important logistical items to pay attention to:

1. Flying into Jacksonville Airport is your best option
2. There is a PGA tournament that weekend - Review your travel options now
3. If you need a rental car, we would recommend getting that reserved now since those will be taken quickly with the tournament

We are looking forward to hosting you for this annual tradition like no other!

CJ's Compliance Corner

Blue Sky Laws

On November 1st, Cambridge Compliance will be releasing a new Trade Alert within CLIC Trade Review that is designed to ensure Cambridge financial professionals are adhering to any relevant blue sky rules. Details are included in the full **Compliance Alert**

If you are placing a trade, and want to ensure that the product you are trading has met the blue sky laws in a given state, there is a method by which you can check via the Product Inquire tool within CLIC Trade Review - for demonstration, watch today's recording!

QRP Rollover Fee Disclosure Requirements

Please remember to include a plan fee disclosure (most commonly a 5500) along with a copy of the Best Interest Analysis Form whenever submitting a rollover from a qualified retirement plan. For a demonstration on how to pull a 5500 from RightBridge, click **here**.

You ALSO have the option of bypassing the BIA & Fee Disclosure requirement by submitting a RightBridge Product Profiler instead!

Navigating RightBRIDGE User Guide

Around the Product Block

The Value of Independence and Referral Bonus

If you come across a financial professional that could use the Independence, internal control, and support of Cambridge and Duncan Advisor Resources, please let me know. Until the end of this year, Cambridge is giving you a chance at a 4% bonus for every referral you make and joins Cambridge within 12 month.

One of our enterprise Financial Professionals is scheduled to get $9,600 in late October

Pillars of True Independence
Getting to know Cambridge

Practice Management

CreativeOne - Marketing & Communications

Sarah Williams
VP of Marketing Communications
Email SWilliams@creativeone.com

The Future of Client Acquisition

Not a day goes by, that there isn't a headline on some news site about AI. It's becoming such a common place "word" that many people have probably forgotten what it means (I'm looking at you UPS).

Tune in as Sarah reviews how AI is being integrated into marketing tools for financial advisors and how you should use it to your advantage in 2024.

Presentation
Marketing Credits at CreativeOne

Larry L. Qvistgaard, II
President, COO
Duncan Advisor Resources
215 Avenida Del Mar, Ste. B, San Clemente, CA 92672
T 800.517.9901 x2230 C 949.306.0060 F 707.676.8633
www.duncanar.com

Duncan ADVISOR RESOURCES
Making It All Work Together