

CJ's Compliance Minute

Watch the video below



- 1. Social Media Policies
- 2. Account Periodic Announcement
- 3. OSJ Trade Review Procedures
- 4. Discontinued Forms
- 5. AdView Deadline
- 6. Undeliverable E-Mail
- 7. <u>Structured Products</u>
- 8. Fraud Risks
- 9. Cybersecurity Risks
- 10. Medallion Signature Guarantee
- 11. Texting PII



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