

CJ's Compliance Minute

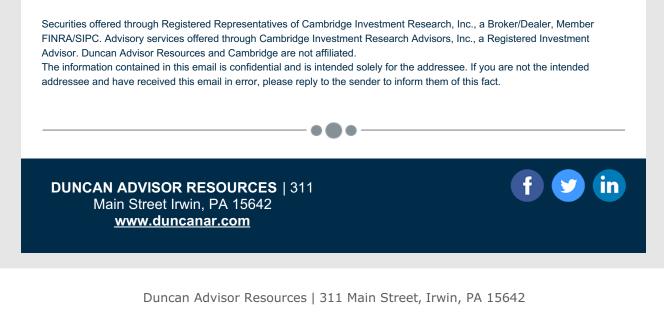
Watch the video below



- 1. <u>NetX360 new reports</u>
- 2. Mandatory Social Media Training
- 3. <u>RightBridge & Hearsay</u> new look and feel
- 4. Enhanced Structured Product Data in Trade Review
- 5. <u>WealthPort Program Review</u>
- 6. <u>Team Oak feedback</u>
- 7. Advisory Paperwork Requirements
- 8. <u>eSignature</u>
- 9. <u>E&O Pricing & Deadlines Announced</u>
- 10. BIA form "best efforts"
- 11. Preparing for upcoming branch visits



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