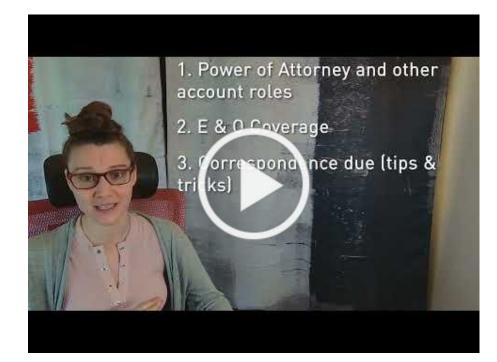


CJ's Compliance Minute

Watch the video below



- 1. RightBridge interface update
- 2. Annuity Disclosure consolidation
- 3. eSignature enhancements
- 4. Social Media Changes, New Annual Training
- 5. Pershing electronic tax document access
- 6. Correspondence due (tips & tricks)
- 7. Power of Attorney and other account roles
- 8. Admin & Solo Practitioner Call
- 9. E&O Coverage
- 10. Client-initiated rollover best practices
- 11. Pershing IRA Distribution Form



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