

## CJ's Compliance Minute

**Watch the video below**



1. [RegEd Modules, including Hard Copy Correspondence](#)
2. [Pershing: Abandoned Property Management and Quarterly Transfer](#)
3. [Cybersecurity & Secure Communication](#) like [Compliant Texting](#)
4. [Paperwork Status Changes](#)
5. [Firm Element & Finra CE](#)
6. [New Vendor Review Guidelines](#)



**Catherine "CJ" Lavoie**  
Compliance Specialist  
Duncan Advisor Resources  
215 Avenida Del Mar, Ste. B, San  
Clemente, CA 92672  
T 724-279-4046  
[www.duncanar.com](http://www.duncanar.com)

Securities offered through Registered Representatives of Cambridge Investment Research, Inc., a Broker/Dealer, Member FINRA/SIPC. Advisory services offered through Cambridge Investment Research Advisors, Inc., a Registered Investment Advisor. Duncan Advisor Resources and Cambridge are not affiliated.

The information contained in this email is confidential and is intended solely for the addressee. If you are not the intended addressee and have received this email in error, please reply to the sender to inform them of this fact.

**DUNCAN ADVISOR RESOURCES** | 311  
Main Street Irwin, PA 15642  
[www.duncanar.com](http://www.duncanar.com)



Duncan Advisor Resources | 311 Main Street, Irwin, PA 15642

[Unsubscribe marketing@duncangrp.com](mailto:Unsubscribe_marketing@duncangrp.com)

[Update Profile](#) | [Constant Contact Data Notice](#)

Sent by catherine.lavoie@duncanar.com in collaboration  
with



Try email marketing for free today!