Weekly Rep Call

11/16/2022

Today's Rep Call

Click the button below to view the recording of today's rep call.

Call Notes (Click on the red links to learn more):

Do YOU have a topic you would like discussed on the next call? Let us know! Contact your OSJ, or reply to this E-mail

Upcoming Rep Calls

Practice Management Series

November 23rd - 2023 Business Planning Bonanza

November 30th - Lord Abbett: Advanced Roth Strategies

December 7th - FS Investments

December 14th - CreativeOne

December 21st - No Rep Call

December 28th - No Rep Call

Upcoming Compliance Deadlines:

Important Registration Renewal Dates	
November 15, 2022	Renewal invoices available from the CLIC® dashboard
December 13, 2022	Deadline for all registration changes, including notification of full terminations effective December 31, 2022
December 23, 2022	FINRA shutdown through January 2, 2023
January 13, 2023	Compensation debiting

CJ's Compliance Corner

CLICNew! Dashboard

The newly announced blottering functionality now live!
For CLICNew FAQs, click here
For fee details, click here

New Quarterly Branch Call

The new year will bring a new enterprise call! Coming in 2023, we will be hosting a quarterly call focuses on compliance and operational updates that are need-to-know. Stay tuned for more!

Around the Product Block

New Preferred Stock Offering

Ashford Securities has two approved Preferred Stock subscription products approved. Please review all of the documents attached and please remember that both of these options are considered one in the same for single concentration limits.

Series E
Series M
Wholesaler Map
2022 Trading Calendar

Blackrock Tax Evaluator

If you're looking for a free tool that can give you up to date information on client holdings and when they will distribute capital gains, sign up for the BlackRock Advisor Center. you can create an account by going **here.** If you need a walkthrough, please reach out to Lauren Mueller at (415) 369-5616 or email **Lauren.Mueller@BlackRock.com**

4% Referral Bonus? 4% Referral Bonus!

Our enterprise is built on the best financial professionals like you. Cambridge knows that you can attract the best talent. From now until December 31st, 2022, you have the ability to get a 4% bonus on your referrals production.

- 2% on their verified production on their FINRA Day 1 with Cambridge
- 2% on month 13 based on their actual production for the first year

Max Bonus $50,000

Please reach out to me with potential names you'd like discuss

Practice Management

Riskalyze

Jayden Newbury
Senior Account Executive
Email jnewbury@riskalyze.com

Riskalyze is the company that invented the Risk Number®, which powers the world's first Risk Alignment Platform; delivers the first risk-centric 401(k) experience with Riskalyze Retirement Solutions; and enables enterprises to develop real-time visibility, increase revenue, spot issues, and navigate changing fiduciary rules with Compliance Cloud. Financial professionals, broker-dealers, RIAs, asset managers, custodians, and clearing firms use the Riskalyze platform to empower the world to invest fearlessly.

Cambridge financial professionals receive a $100/month off of Riskalyze Elite upon signing up.

To learn more, visit the Riskalyze website

Speaker Attachments
Riskalyze Presentation
Riskalyze Guided Tour
Command Center Overview

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