

# Stock Options/Grants

## Stock Options

Asset Name: \_\_\_\_\_  
Institution Name: \_\_\_\_\_  
Ticker Symbol: \_\_\_\_\_  
Vest at Death?  Yes  No

## Grants

Grant Number: \_\_\_\_\_ Grant Date: \_\_\_\_\_  
Grant Type (NQ, ISO, DQ, Restricted 83(b) only): \_\_\_\_\_  
Shares Granted: \_\_\_\_\_ Shares Sold: \_\_\_\_\_  
Exercise Price: \_\_\_\_\_ Exercise Price Discount: \_\_\_\_\_  
FMV at Purchase (Restricted/Restricted 83(b) only): \_\_\_\_\_  
First Vest Date: \_\_\_\_\_  
Vesting Frequency (Monthly, Quarterly, Semi-Annually): \_\_\_\_\_  
Vesting Periods: \_\_\_\_\_  
Expiration Date: \_\_\_\_\_

## Option Lots

Date Purchased: \_\_\_\_\_  
Shares Purchased: \_\_\_\_\_  
FMV per share at Purchase: \_\_\_\_\_  
Shares Sold: \_\_\_\_\_  
Sale Price: \_\_\_\_\_  
Date Sold: \_\_\_\_\_

## Plan Strategy

**Exercise** (As soon as possible, As late as possible, Calendar Year, When Client is (age), Clients Retirement, When Spouse's Retirement): \_\_\_\_\_

**Sell** (As soon as possible, Hold # of Years, Never, Calendar Year, When client is (age), Client's Retirement, When spouse is (age), Spouse's Retirement): \_\_\_\_\_