FEE COMPLEXITY WORKSHEET

Client Name(s): ______________________________ Date: ______________

Duncan ADVISOR RESOURCES

Client Situation	Low (1 pt)	Medium (2 pt)	High (3 pt)
Net Worth	<$1,000,000	$1,000,000 - $2,000,000	>$2,000,000
Investable Assets	<$500,000	$500,000 - $1,000,000	>$1,000,000
Income	<$150,000	$150,000 - $250,000	>$250,000
Marital Status	Single/Married	Blended Family, Planning for only 1 member	Large Age Difference
Dependents	N/A	Legal Dependants	Special Needs/ Blended Family
Income Sources	W-2, Pension, Social Security	Investment Income	Self-Employed, Trusts
Assets	Primary Residence, Work Retirement	Multiple Homes, IRA (Traditional/Roth)	Pensions, Self-Managed
Accounts (#Locations)	1	3	6+
Outside Professional Involement (i.e. Trust Lawyers)	None	1	2+
Investment Real Estate	None	1	2+
Liabilities	Mortgage Only	Refinancing	Credit Card
Policies	Term Policies, Employer-Provided	Whole Life, UL, LTC	VUL, IUL, Business Ins.

Client Situation	Low (1 pt)	Medium (2 pt)	High (3 pt)
Tax Preparation	Self-Prepared Returns	Works with Enrolled Agent	Works with CPA
Cash Reserves	6 Months Saved	3 Months Saved	None
Cash Flow Systems	Consistent Cash Flow	W2 and 1099	Variable Income, Bonus, Inconsistent Income

Areas of Focus	Low	Medium	High
Retirement	Accumulation	Retired	Transitioning to Retirement
Education Goals	None	1-2	3+
# Other Goals	None	1-2	3+

Other Notes / Comments Pertinent to Fee:

TOTAL SCORE: ___________

Overall Complexity	Low (19-31)	Medium (32-44)	High (45-57)
Advisor Cost	$1,500	$2,500	$3,500
Suggested Client Billing	$1,500 - $2,500	$2,500 - $3,500	$3,500 - $5,000