

Weekly Rep Call

05/13/2020



Making It All Work Together

Click the button below to view the recording of this week's rep call.

Call Notes (Click on the **red** links to learn more):



Do YOU have a topic you would like discussed on the next call? Let us know!
Contact your OSJ, or reply to this E-mail

Practice Management



Excited to announce our NEW 6-week Zoom conferencing program:
"Where do we go from here?"

Current schedule:

May 6 — Legg Mason/Clearbridge:—

- Recession Dashboard and insight coming out of the recession
- Click **HERE** for a copy of the recording notes

May 13 – CreativeOne:

- Servicing clients virtually and building a digital presence
- **Advisor stimulus package**
- Today we were joined by Terry Pierce and Dennis Mattern. Their presentation explains how learning to navigate in the virtual world can increase your success exponentially! To see for yourself, click the red button above to view the recording!

Join us next week for another installment of our Zoom conferencing program - details below:

Upcoming:

May 20 – Snappy Kraken:

- Digital Marketing

May 27 – CreativeOne:

- Riskless and Less Risk investment products

MEETING FORMAT INFORMATION:





*These are all Zoom meetings where the presenters will be sharing their screen (no participant video)

*All lines will be muted, but there will be a chat session that you can utilize to ask questions throughout the presentation

*These meetings are scheduled to last 45 minutes (instead of 30), and will be recorded for on-demand viewing

zoom



ZOOM LOGIN INFORMATION:

COMPUTER:

Click the below link, or copy and paste the link directly into your web browser:

<https://zoom.us/j/7875573857>

Enter the password when prompted (case-sensitive)

- Password: DuncanAR

Once you have joined the meeting, a new window will appear which will allow you to choose how to connect the audio.

- You can proceed using audio through your computer
- You can proceed using audio through your phone. To do this, you will use the Participant ID unique to you, which is displayed at the bottom of the audio selection window
 - Mobile phone (one-tap):
 - +16699009128,,7875573857#
 - Enter Participant ID when prompted
 - Non-mobile phone:
 - Dial 1-669-900-9128
 - Enter the meeting ID when prompted
 - Meeting ID: 787 557 3857
 - Enter Participant ID when prompted

MOBILE PHONE:

Mobile phone (one-tap):

+16699009128,,7875573857#

The meeting ID will be entered for you automatically
You will not need a password or participant ID

NON-MOBILE PHONE:

Dial 1-669-900-9128

Enter the meeting ID when prompted

- Meeting ID: 787 557 3857

Compliance/Administration

FYI regarding Reg BI training at branch meeting

- If you attended the live Regulation BI event at our branch meeting in Orlando, you should have received credit for completing the required Reg BI training (due May 31)
- If Cambridge contacts you to let you know that their records show that you have not completed the training, please reply to the E-mail (copy Larry) and explain that you attended the training at the DAR branch meeting March 12, 2020



- You can verify that you have satisfied the requirement within Knowledge Center University (enter KCU by clicking the link on the right side of [this Cambridge page](#)). Within KCU, click “Me” on the set of tabs at the top, then click “Completed Learning” on the left-hand menu. Once you are done, the Reg BI should be listed at the top of this section
 - If you attended live, but it is not showing as completed in this section, contact the Compliance Resource Center: resourcecenter@cir2.com

Update made to Interval Fund Disclosure

- The annual interval fund disclosure has been amended to add a section specifically addressing COVID-19
 - Sample letter available [here](#)

□ [On the radar](#) □

Regulation BI Resources:

- REQUIRED Training (**due May 31**):
 - Reg BI, Interpretive Guidance and Form CRS Overview
 - Recording available on [Knowledge Center University](#) (KCU)
 - Log into KCU and enter 0000062640 in the search bar
- OPTIONAL Training:
 - Form CRS – Customer Relationship Summary
 - Recording available on [Knowledge Center University](#) (KCU)
 - Log into KCU and enter 0000062720 in the search bar
 - Best Interest Analysis
 - Recording available on [Knowledge Center University](#) (KCU)
 - Log into KCU and enter 0000062728 in the search bar
 - Documentation & Disclosure Updates
 - Recording available on [Knowledge Center University](#) (KCU)
 - Log into KCU and enter 0000062841 in the search bar
- Regulation BI [FAQs](#)
- Cambridge Regulation BI [Resource Page](#)
- Form CRS [template](#)



Larry L. Qvistgaard, II

President, COO

Duncan Advisor Resources

30011 Ivy Glenn Dr., Ste. 115, Laguna Niguel, CA 92677

T 800.517.9901 x2230 **C** 949.306.0060 **F** 707.676.8633

www.duncanar.com



Making It All Work Together

Securities offered through Registered Representatives of Cambridge Investment Research, Inc., a Broker/Dealer, Member FINRA/SIPC. Advisory services offered through Cambridge Investment Research Advisors, Inc., a Registered Investment Advisor. Duncan Advisor Resources and Cambridge are not affiliated.

The information contained in this email is confidential and is intended solely for the addressee. If you are not the intended addressee and have received this email in error, please reply to the sender to inform them of this fact.

