Duncan
ADVISOR RESOURCES

Making It All Work **Together**

Weekly Rep Call

Click HERE to listen to a recording of this week's rep call.

Call Notes (Click on the red links to learn more):

Compliance and Administration Updates

Compliance Topics

- Consumer Report Authorization Required for both Registered and Non-registered Individuals
    - Cambridge is now requiring all non-registered personnel to complete a Consumer Report Authorization (CRA) form.
    - They will be receiving this form via DocuSign to their Cambridge email.
    - If they do not have a Cambridge email, the email will be sent to their branch manager.
- Mutual Fund Share Classes for Managed Accounts
    - SEC continues to focus on how and why advisors choose a certain share class
    - To eliminate some of the guesswork associated with share class selection, Cambridge has created a list of the share class of choice for each fund family at each of the clearing firms
    - If you use a different share class, make sure to document why it was more appropriate for the client

Practice Management

Guest Speaker: FS Investments

We were joined this week by Brian Boulerice Managing Director, Business Development for FS Investments. During the call Brian touched on the following topics:

- As you build your clients' fixed income portfolios, do you face the following challenges?
- Finding differentiated sources of income
- Managing interest rate risk
- Diversifying a traditional portfolio Investing beyond "core" fixed income may help overcome these hurdles. Skilled managers FS Investments and GoldenTree Asset Management team up to bring FS Credit Income Fund (FCRIX) to complement a traditional fixed income portfolio and to seek an attractive level of income.
- Fact Sheets
    - FS Credit Income Fund
    - GoldenTree

Larry L. Qvistgaard
President
Duncan Advisor Resources

Duncan Financial Group
311 Main Street
Irwin, PA 15642